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Substitute for form 1449/PTO FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/676,474
				Filing Date	September 30, 2003
				First Named Inventor	Klimenty Vainstein
				Art Unit	2135
				Examiner Name	Yogesh Paliwal
Sheet	1	of	8	Attorney Docket Number	2222.5450000

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
Y.P.I.	US1	4,203,166	05-13-1980	Eshram et al.	
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	US3	4,796,220	01-01-1989	Wolfe	
	US4	4,827,508	05-02-1989	Shear	
	US5	4,888,800	12-19-1989	Marshall et al.	
	US6	4,972,472	11-20-1990	Brown et al.	
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	US11	5,319,705	06-07-1994	Halter et al.	
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	US18	5,720,033	02-17-1998	Deo	
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
Y.P.I.	FP1	EP 0 674 253 A1	09-27-1995	Kabushiki Kaisha Toshiba		
↓	FP2	EP 0 809 170 A1	11-26-1997	NCR International Inc.		
	FP3	EP 0 913 966 A2	05-06-1999	Sun Microsystems, Inc.		
	FP4	EP 0 950 941 A2	10-20-1999	Fujitsu Limited		
	FP5	EP 0 950 941 A3	10-20-1999	Fujitsu Limited		
	FP6	EP 1 130 492 A2	09-05-2001	Sony Corporation		
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	↓	FP8	EP 1 107 504 A2	06-13-2001	Benayoun et al.	
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Examiner Signature	/Yogesh Paliwal/	Date Considered	11/30/2007
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	FP11	JP 2001-036517	02-09-2001	Lucent Technol, Inc.		
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Examiner Signature	/Yogesh Paliwal/	Date Considered	11/30/2007
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	FP19				
	FP20				
	FP21				
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	FP24				
	FP25				
	FP26				
	FP27				

Examiner Signature	/Yogesh Paliwal/	Date Considered	11/30/2007
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	FP28				
	FP29				
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	FP39					
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	FP48				
	FP49				
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	FP52				
	FP53				
	FP54				

Examiner Signature	Yogesh Paliwal/	Date Considered	11/30/2007
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Substitute for form 1449/PTO FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/676,474
				Filing Date	September 30, 2003
				First Named Inventor	Klimenty Vainstein
				Art Unit	2135
				Examiner Name	Yogesh Paliwal
Sheet	7	of	8	Attorney Docket Number	2222.5450000

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
/Y.P./	US121	7,287,055 B2	10-23-2007	Smith et al.	
	US122	2001/0021926 A1	13-09-2001	Schnek et al.	
	US123	2001/0032181 A1	10-18-2001	Jakstadt et al.	
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	US139	2003/0023559 A1	01-30-2003	Choi et al.	
	US140	2003/0037253 A1	02-20-2003	Blank et al.	

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	FP55					
	FP56					
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	FP62					
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				Application Number	10/676,474
				Filing Date	September 30, 2003
				First Named Inventor	Klimenty Vainstein
				Art Unit	2135
				Examiner Name	Yogesh Paliwal
Sheet	8	of	8	Attorney Docket Number	2222.5450000

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		Number-Kind Code ² (if Known)			
/Y.P./	US141	2003/0074580 A1	04-17-2007	Knouse et al.	
	US142	2003/0078959 A1	04-24-2003	Yeung et al.	
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	US150	2004/0064710 A1	04-01-2004	Vainstein	
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	US159	2006/0005021 A1	01-05-2006	Torrubia-Saez	
	US160	2007/0006214 A1	01-04-2007	Dubal et al.	

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	FP64				
	FP65				
	FP66				
	FP67				
	FP68				
	FP69				
	FP70				
	FP71				
	FP72				

Examiner Signature	/Yogesh Paliwal/	Date Considered	11/30/2007
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		Filing Date	September 30, 2003		
		First Named Inventor	Klimenty Vainstein		
		Art Unit	2135		
		Examiner Name	Yogesh Paliwal		
Sheet	1	of	5	Attorney Docket Number	2222.5450000

NON PATENT LITERATURE DOCUMENTS			
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/Y.P./	NPL1	"Affect," The American Heritage Dictionary of the English Language, Fourth Edition, Houghton Mifflin Company, 2002. Retrieved May 4, 2006 from http://dictionary.reference.com/search?q=affect	
	NPL2	Microsoft Windows 200 server. Windows 2000 Group Policy White Paper, 2000	
	NPL3	Symantec. Norton Antivirus Corporate Edition Implementation Guide, 1999.	
	NPL4	A Real-Time Push-Pull Communications Model for Distributed Real-Time and Multimedia Systems, January 1999, School of Computer Sciences Carnegie Mellon University, Kanaka Juvva, Raj Rajkumar.	
	NPL5	U.S. patent application, Ser No. 10/889,685, entitled "Method and Apparatus for Controlling the Speed Ranges of a Machine " inventor Thomas, July 13, 2004, 18 pgs.	
	NPL6	U.S. patent application, Ser No. 10/028,397, entitled "Method and system for restricting use of a clipboard application, " inventor Zuili, December 21, 2001, 38 pgs.	
	NPL7	U.S. patent application, Ser No. 10/368,277, entitled "Method and apparatus for uniquely identifying files, " inventor Ouye, February 18, 2003, 25 pgs.	
	NPL8	U.S. patent application, Ser No. 10/327,320, entitled "Security system with staging capabilities " inventor Vainstein, December 20, 2002, 39 pgs.	
	NPL9	U.S. patent application, Ser No. 10/286,524, entitled "Security system that uses indirect password-based encryption, " inventor Gutnik, November 1, 2002, 38 pgs.	
↓	NPL10	U.S. patent application, Ser No. 10/242,185, entitled "Method and system for protecting encrypted files transmitted over a network " inventor Ryan, September 11, 2002, 33 pgs.	

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				<i>Art Unit</i>	2135
				<i>Examiner Name</i>	Yogesh Paliwal
Sheet	2	of	5	<i>Attorney Docket Number</i>	2222.5450000

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/Y.P./	NPL11	U.S. patent application, Ser No. 10/642,041, entitled "Method and system for fault-tolerant transfer of files across a network " inventor Kenrich, August 15, 2003, 32 pgs.	
	NPL12	U.S. patent application, Ser No. 10/610,832, entitled "Method and system for enabling users of a group shared across multiple file security systems to access secured files " inventor Ryan, June 30, 2003, 33 pgs.	
	NPL13	U.S. patent application, Ser No. 10/448,806, entitled "Method and System for Using Remote Headers to Secure Electronic Files " inventor Ryan, May 30, 2003, 35 pgs.	
	NPL14	U.S. patent application, Ser No. 10/074,194, entitled "Methods for identifying compounds that inhibit or reduce PTP1B expressions" inventor Rondinone, February 12, 2002, 69 pgs.	
	NPL15	U.S. patent application, Ser No. 10/074,804, entitled "Secured Data Format for Access Control," inventor Garcia, February 12, 2002, 108 pgs.	
	NPL16	U.S. patent application, Ser No. 10/075,194, entitled "System and Method for Providing Multi-location Access Management to Secured Items," inventor Vainstein et al., February 12, 2002, 110 pgs.	
	NPL17	U.S. patent application, Ser No. 10/074,996, entitled "Method and Apparatus for Securing Electronic Data," inventor Lee et al., February 12, 2002, 111 pgs.	
	NPL18	U.S. patent application, Ser No. 10/074,825, entitled "Method and Apparatus for Accessing Secured Electronic Data Off-line," inventor Lee et al., February 12, 2002, 108 pgs.	
	NPL19	U.S. patent application, Ser No. 10/105,532, entitled "System and Method for Providing Different Levels of Key Security for Controlling Access to Secured Items," inventor Hildebrand et al., March 20, 2002, 86 pgs.	
↓	NPL20	U.S. patent application, Ser No. 10/186,203, entitled "Method and System for Implementing Changes to Security Policies in a Distributed Security System," inventor Huang, June 26, 2002, 65 pgs.	

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				Filing Date	September 30, 2003
				First Named Inventor	Klimenty Vainstein
				Art Unit	2135
				Examiner Name	Yogesh Paliwal
Sheet	3	of	5	Attorney Docket Number	2222.5450000

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/Y.P./	NPL21	U.S. patent application, Ser No. 10/201,756, entitled "Managing Secured Files in Designated Locations," inventor Alain, July 22, 2002, 121 pgs.	
	NPL22	U.S. patent application, Ser No. 10/206,737, entitled "Method and System for Updating Keys in a Distributed Security System," inventor Hildebrand, July 26, 2002, 60 pgs.	
	NPL23	U.S. patent application, Ser No. 10/246,079, entitled "Security System for Generating Keys from Access rules in a Decentralized Manner and Methods Therefore," inventor Hildebrand, September 17, 2002, 78 pgs.	
	NPL24	U.S. patent application, Ser No. 10/259,075, entitled "Effectuating Access Policy Changes to Designated Places for Secured Files," inventor Crocker, September 27, 2002, 60 pgs.	
	NPL25	U.S. patent application, Ser No. 10/286,575, entitled "Method and Architecture for Providing Access to Secured Data from Non-Secured Clients," inventor Vainstein, November 1, 2002, 46 pgs.	
	NPL26	U.S. patent application, Ser No. 10/295,363, entitled "Security System Using Indirect Key Generation from Access Rules and Methods Therefore," inventor Vainstein, November 15, 2002, 70 pgs.	
	NPL27	U.S. patent application, Ser No. 11/889,310, entitled "Methods and Systems for Providing Access Control to Electronic Data," inventor Rossmann, August 10, 2007, 90 pgs.	
	NPL28	U.S. patent application, Ser No. 11/797,367, entitled "Method and System for Managing Security Tiers," inventor Vainstein, May 2, 2007, 11 pgs.	
↓	NPL29	Adobe Acrobat 5.0 Classroom in a Book, Adobe Press, 26 June 2001, pp.1-4.	
	NPL30	Adobe Acrobat Security Settings, Acrobat 7.0, 15 Nov 2004, pp.1-4.	

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Sheet	4	of	5	<i>Attorney Docket Number</i>	2222.5450000

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Y.P./	NPL31	"Security Options". December 20, 2001. DC & Co. pages 1-2	
	NPL32	Microsoft Press Computer Dictionary, 1997, Microsoft Press, Third Edition, page 426.	
	NPL33	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8530, 2 pages.	
	NPL34	Search Report, completion date October 2, 2003, for European Patent Application No. EP 02 25 8531, 2 pages.	
	NPL35	Search Report, completion date April 14, 2005, for European Patent Application No. EP 02 25 8533, 2 pages.	
	NPL36	Search Report, completion date March 16, 2005, for European Patent Application No. EP 02 25 8534, 2 pages.	
	NPL37	Search Report, completion date March 2, 2005, for European Patent Application No. EP 02 25 8535, 2 pages.	
	NPL38	Search Report, completion date March 3, 2005, for European Patent Application No. EP 02 25 8537, 2 pages.	
	NPL39	Search Report, completion date May 12, 2005, for European Patent Application No. EP 02 25 8539, 2 pages.	
↓	NPL40	Search Report, completion date July 6, 2005, for European Patent Application No. EP 02 25 8529, 4 pages.	

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				<i>Examiner Name</i>	Yogesh Paliwal
Sheet	5	of	5	<i>Attorney Docket Number</i>	2222.5450000

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/Y.P./	NPL41	Search Report, completion date October 8, 2003, for European Patent Application No. EP 02 25 8536, 2 pages.	
/Y.P./	NPL42	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8540, 2 pages.	
	NPL43		
	NPL44		
	NPL45		
	NPL46		
	NPL47		
	NPL48		
	NPL49		
	NPL50		

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Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/676,474
				Filing Date	September 30, 2003
				First Named Inventor	Klimenty VAINSTEIN
				Art Unit	2109
				Examiner Name	Paliwal, Yogesh
Sheet	1	of	9	Attorney Docket Number	2222.5450000

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/Y.P./	US1	4,799,258	01/17/84	Davies	
	US2	4,757,533	07/12/88	Allen et al.	
	US3	5,052,040	09/24/91	Preston et al.	
	US4	5,058,164	10/15/91	Elmer et al.	
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	US6	5,247,575	09/21/93	Sprague et al.	
	US7	5,276,735	01/04/94	Boebert et al.	
	US8	5,369,702	11/29/94	Shanton	
	US9	5,375,169	12/20/94	Seheidt et al.	
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	US11	5,414,852	05/09/95	Kramer et al.	
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	US18	5,600,722	02/04/97	Yamaguchi et al.	
	US19	5,680,452	10/21/97	Shanton	
	US20	5,684,987	11/04/97	Mamiya et al.	

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	FP1				
	FP2				
	FP3				
	FP4				
	FP5				
	FP6				
	FP7				
	FP8				
	FP9				

Examiner Signature	/Yogesh Paliwal/	Date Considered	11/30/2007
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Y.P./	US21	5,689,718	11/18/97	Sakurai et al.	
	US22	5,699,428	12/16/97	McDonnal et al.	
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)			
	FP10				
	FP11				
	FP12				
	FP13				
	FP14				
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	FP16				
	FP17				
	FP18				

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				First Named Inventor	Klimenty VAINSTEIN
				Art Unit	2109
				Examiner Name	Paliwal, Yogesh
Sheet	3	of	9	Attorney Docket Number	2222.5450000

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	FP19					
	FP20					
	FP21					
	FP22					
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	FP26					
	FP27					

Examiner Signature	/Yogesh Paliwal/	Date Considered	11/30/2007
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/Y P /	US61	6,301,614 B1	10/09/01	Najork et al.	
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	FP28					
	FP29					
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	FP35					
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Examiner Signature	/Yogesh Paliwal/	Date Considered	11/30/2007
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	FP37				
	FP38				
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	FP46					
	FP47					
	FP48					
	FP49					
	FP50					
	FP51					
	FP52					
	FP53					
	FP54					

Examiner Signature	/Yogesh Paliwal/	Date Considered	11/30/2007
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Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/676,474
				Filing Date	September 30, 2003
				First Named Inventor	Klimenty VAINSTEIN
				Art Unit	2109
				Examiner Name	Paliwal, Yogesh
Sheet	7	of	9	Attorney Docket Number	2222.5450000

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/Y.P./	US121	2004/0025037 A1	02/05/04	Hair	
	US122	2004/0098580 A1	05/20/04	DeTreville	
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	FP55				
	FP56				
	FP57				
	FP58				
	FP59				
	FP60				
	FP61				
	FP62				
	FP63				

Examiner Signature	/Yogesh Paliwal/	Date Considered	11/30/2007
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/Y.P./	US141	2005/0120199 A1	06/02/05	Carter	
	US142	6,915,434 B1	07/05/05	Kuroda et al.	
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	FP64				
	FP65				
	FP66				
	FP67				
	FP68				
	FP69				
	FP70				
	FP71				
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/Y.P./ ↓	US161	7,073,063 B2	07/04/06	Peinado	
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	US169	7,266,684 B2	09/04/07	Jancula	
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	US180				

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	FP74					
	FP75					
	FP76					
	FP77					
	FP78					
	FP79					
	FP80					
	FP81					

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/Y.P./	NPL1	"Encrypting File System," from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
	NPL2	"Features of EFS" from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
	NPL3	"Windows 2000 EFS" in the April 1999 issue of Windows NT magazine	
	NPL4	"How EFS work," from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
	NPL5	"Inside Encrypting file system," Part 1, from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
	NPL6	"Inside Encrypting file system," Part 2, from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
	NPL7	"Security with Encrypting File System," from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
	NPL8	CROCKER, Steven Teye, "Effectuating access policy changes to designated places for secured files," U.S. Patent Application No. 10/259,075, filed 09/27/2002.	
	NPL9	CROCKER, Steven Teye, "Multi-level cryptographic transformations for securing digital assets," U.S. Patent Application No. 10/404,566, filed 03/31/2003.	
↓	NPL10	Expiration Mechanism for Chipcards, IBM Technical Disclosure Bulletin, October 1, 2001, UK	

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/Y.P./	NPL11	KENRICH, Michael Frederick, "Multi-Level File Digest", U.S. Patent Application No. 10/894,493, filed 07/19/2004.	
	NPL12	KINGHORN, Gary Mark, "Method and system for protecting electronic data in enterprise environment," U.S. Patent Application No. 10/159,220, filed 05/31,2002.	
	NPL13	McDANIEL et al. "Antigone: A Flexible Framework for Secure Group Communication," Proceedings of the 8th USENIX Security Symposium, August 23, 1999	
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	NPL18		
	NPL19		
	NPL20		

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